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The Asian American Federation of New York’s mission is to advance the civic voice and well-being of Asian Americans. We collaboratively foster philanthropy in the community, undertake research to inform policies, and provide support to community service organizations.

Dear Friends,

In the past three years, the Federation achieved significant progress while overcoming notable challenges. We continued fulfilling our commitments to post-September 11th recovery and overall strengthening of the Asian American community, as well as conducted extensive strategic planning. At the same time, we were constrained by budget deficits in fiscal year 2005, and we devoted much attention to regaining financial stability. The Federation also underwent a transition, with half of our current board and staff members joining our organization during this period.

We are pleased to report that the Federation has improved its financial picture, revitalized its team, and made major strides programmatically and organizationally. Among our accomplishments: We have increased the involvement of Asian American professionals in the community through structured volunteer opportunities and organized giving efforts. Our “family” grew to include seven additional member agencies. Support from individuals and corporations rose noticeably. We also published a special report on the economic characteristics of Asian Americans in the New York metropolitan area and undertook two other substantial research studies. These research activities have enhanced the Federation’s position as an authoritative source of community knowledge and a clear, strong voice of reason on policy issues affecting Asian Americans.

Building on a record of achievements, the Federation is prepared to take on greater challenges in serving the Asian American community. The strategic plan adopted in December 2005 provides a new direction and new milestones for the next five years. The strategic plan adopted in December 2005 confirmed our role as a federation and our on-going commitment to supporting member agencies in serving the Asian American community. Through creating structured vehicles for philanthropic investment, the plan calls for expanding our Asian American Community Fund to provide stronger financial support to human service agencies.

Since its birth in 1990, the Federation has grown and evolved into a solid leadership organization, gaining wide recognition as an effective catalyst, resource and voice for the Asian American community. On May 1, 2007, we were honored to be one of five organizations in the country to receive the W.K. Kellogg Foundation’s 2007 National Leadership in Action Award. We thank all those who have placed their trust and confidence in the Federation. We are grateful to our current and former board and staff members, value the support and cooperation of our member agencies, and appreciate the involvement of growing numbers of individual donors and institutional funders. Together, we are stronger in serving our community. In the coming years, we look forward to maintaining and building partnerships while also expanding our circle of friends.

Sincerely,

Yung Duk Kim, Ph.D.    Cao K. O
Board Chairperson     executive Director

The Asian American Federation of New York
Paul D.C. Huang has been directly engaged in the Asian American Community for many years through his research, active participation and voluntary contributions of time and skills. “…we should try to look deeper at the root causes of the problems in our communities. I learned that each of us alone has limited resources and impact. That is why collaborative philanthropy is so important.”

Paul joined the Federation’s Board in 1994 and has been a strong proponent of the Federation’s work ever since. He believes in the Federation’s efforts to build an even larger Asian American Community Fund that would connect community resources to community needs. As president of the C.J. Huang Foundation, Paul consistently donates to the Community Fund, having invested in our Asian communities for over 13 years.

In 2006, Paul envisioned further growth of the Fund’s scope and reach, and personally gifted $100K to seed the Community Fund endowment. He considers the Fund to be one of the most effective philanthropic vehicles available to address community needs while meeting his own charitable interests.
Jeff Chin also believes that the Federation has a unique role among the Asian American community. The Federation has a macro-level view that enables it to link groups with resources and develop collaborations. Mr. Chin also endowed $50K towards the Asian American Community Fund by establishing the Albert Chin Fund in honor of his father. “My father came from very humble beginnings and worked hard to make a better life for our family. He is the inspiration for all that I have achieved. This fund has been established to help enable others to also rise from humble beginnings.”

Since its creation in 1993, the Federation’s Asian American Community Fund has provided an effective vehicle through which foundations, corporations and individuals can contribute to the community. To date, the Community Fund has raised and dispersed nearly $2 million to more than 100 community programs through its Annual Grants Program.

During the past three years, major institutional supporters of the Community Fund included United Way of New York City, the Avon Foundation, the C.J. Huang Foundation, the Starr Foundation, and Time Warner Inc. and through a contribution from the Margaret A. C. and Tony Hyun Foundation, the Chhaya CDC, the Federation has a macro-level view that enables it to link groups with resources and develop collaborations.

Mr. Chin also endowed $50K towards the Asian American Community Fund by establishing the Albert Chin Fund in honor of his father. “My father came from very humble beginnings and worked hard to make a better life for our family. He is the inspiration for all that I have achieved. This fund has been established to help enable others to also rise from humble beginnings.”

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During the past three years, major institutional supporters of the Community Fund included United Way of New York City, the Avon Foundation, the C.J. Huang Foundation, the Starr Foundation, and Time Warner Inc. and through a competitive application process, the Federation awarded grants to 25 member agencies totaling $389K. Funding priorities included: sustaining critical services, responding to emerging community needs, and strengthening organizational capacity for greater effectiveness and efficiency in service delivery. Off of the grant funds allocated, 30% went to support various elder care programs such as a mental health project for Filipino American seniors, an initiative to promote health literacy and health care access among low-income Chinese and Vietnamese elderly, and English language and computer skills training for Korean American seniors in Flushing.

Approximately 33% of the grant funds were invested in agencies’ infrastructure, from providing in-house staff training to seeding a new staff position in fund-raising and from improving technological capabilities to enhancing financial managers.

In 2007, $185,000 was allocated to 19 member agencies as follows:

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>GRANT PURPOSE</th>
<th>GRANT AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asian &amp; Pacific Islander Coalition on HIV/AIDS</td>
<td>To support an agency-wide operational planning effort to build the capacity of its primary care clinic &amp; to integrate various service components needed to streamline its operations</td>
<td>$ 5,000</td>
</tr>
<tr>
<td>Asian Professional Extension</td>
<td>Built on a Tapestry foundation service grant to implement a donor database.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Brooklyn Chinese-American Association</td>
<td>To build staff capacity whereby improving the skill and knowledge base of staff in the expanded role of housing advocacy.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Charles B. Wang Community Health Center</td>
<td>To train staff, who will in turn, train others to implement and expand the Chronic Care Model of disease management.</td>
<td>$ 5,000</td>
</tr>
<tr>
<td>Chhaya CDC</td>
<td>To subsidize development staff to enhance individual donor fundraising efforts.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Chinese-American Planning Council</td>
<td>To build capacity within staff development, with a focus on training agency managers.</td>
<td>$ 5,000</td>
</tr>
<tr>
<td>Chinese Progressive Association</td>
<td>To develop advocacy by engaging immigrant parents as volunteer community advocates, organizers, and leaders.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Coalition for Asian American Children &amp; Families</td>
<td>To sustain a Health Advocacy Project that aims to promote health access for Asian Pacific American children &amp; families in NYC.</td>
<td>$7,500</td>
</tr>
<tr>
<td>Filipino American Human Services Inc.</td>
<td>To sustain a Senior Support Program, where budget cuts combined with an increase of elderly clients resulted in critical service needs.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Greater Chinatown Community Association</td>
<td>To support critical services such as acupuncture therapy and computer training for employment.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Hamilton-Madison House</td>
<td>Capacity building support to fund a new 3-year strategic plan, including a critical element in executive transition.</td>
<td>$ 5,000</td>
</tr>
<tr>
<td>Indochina Sino-American Community Center</td>
<td>To promote health literacy and health care access through health education, outreach, and health screening.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Japanese American Social Services, Inc.</td>
<td>Special challenge grant used to match fundraising effort.</td>
<td>$20,000</td>
</tr>
<tr>
<td>Korean-American Family Service Center</td>
<td>To sustain critical services for the Hudson “Little Tigers” afterschool program.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Korean Community Services of Metropolitan New York</td>
<td>To provide a Saturday School Program for at-risk Asian American youths in Flushing.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Lower East Side Family Union</td>
<td>To sustain an English as a Second Language Class for Parents funding.</td>
<td>$ 6,000</td>
</tr>
<tr>
<td>SAKHI for South Asian Women</td>
<td>To build capacity through a major gift fundraising strategy.</td>
<td>$ 5,000</td>
</tr>
<tr>
<td>South Asian Council of Social Services</td>
<td>To sustain its Parents Empowerment Program to equip parents through English as a Second language classes and educational system workshops.</td>
<td>$10,000</td>
</tr>
<tr>
<td>South Asian Youth Action</td>
<td>To support the funding needed to hire a Development Manager.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Young Korean American Service &amp; Education Center</td>
<td>Grant requested as a matching fund to expand the language capacity.</td>
<td>$ 7,500</td>
</tr>
<tr>
<td>YWCA of Queens</td>
<td>To build physical assets for the newly licensed Evergreen Healthcare Program, a hemodialysis program for the elderly.</td>
<td>$ 5,000</td>
</tr>
<tr>
<td><strong>TOTAL:</strong></td>
<td></td>
<td><strong>$185,000</strong></td>
</tr>
</tbody>
</table>
In 2006, $110,000 was allocated to 13 member agencies as follows:

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>GRANT PURPOSE</th>
<th>GRANT AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brooklyn Chinese-American Association</td>
<td>To build staff capacity whereby improving the skill and knowledge base of staff in the expanded role of housing advocacy.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Dhaya CDC</td>
<td>To subsidize development staff used to enhance individual donor fundraising efforts.</td>
<td>$5,000</td>
</tr>
<tr>
<td>Chinese Methodist Center Corporation</td>
<td>To supplement salary of a Program Director to assist the Executive Director in operations and programming.</td>
<td>$5,000</td>
</tr>
<tr>
<td>Coalition for Asian American Children &amp; Families</td>
<td>To sustain Education Advocacy Project that aims to promote educational equity for Asian Pacific America students.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Filipinos American Human Services Inc.</td>
<td>To continue mental health support group services to 90 elderly Filipino Americans in Jamaica, Woodside/Jackson Heights areas.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Indochina Sino-American Community Center</td>
<td>To strengthen organizational capacity through coalition building, capacity building training, and strategic planning with community organizations serving Vietnamese community in NYC.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Korean-American Counseling Centers, Inc.</td>
<td>To supplement an ongoing ESL program with computers.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Lower East Side Family Union</td>
<td>To support two series of parenting workshops to serve poor Asian American family members in Queens.</td>
<td>$5,000</td>
</tr>
<tr>
<td>Sabhi for South Asian Women</td>
<td>For a comprehensive fundraising strategy initiative.</td>
<td>$10,000</td>
</tr>
<tr>
<td>South Asian Council for Social Services</td>
<td>To support education and economic empowerment initiative for new South Asian immigrants in NYC.</td>
<td>$10,000</td>
</tr>
<tr>
<td>South Asian Youth Action</td>
<td>To support a Development Associate position which helps build the organization’s capacity for financial sustainability.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Young Korean American Service &amp; Education Center</td>
<td>To increase organizational campaign capacity on “Advancing Immigrant Rights through Civic Participation”.</td>
<td>$10,000</td>
</tr>
<tr>
<td>YWCA of Queens</td>
<td>To sustain a critical youth education program, YW Access—a holistic GED program providing academic, psychological, and vocational support.</td>
<td>$5,000</td>
</tr>
</tbody>
</table>

**TOTAL:** $110,000

In 2005, $94,000 was allocated to 11 member agencies as follows:

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>GRANT PURPOSE</th>
<th>GRANT AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asian &amp; Pacific Islander Coalition on HIV/AIDS</td>
<td>To develop the capacity of clinic’s operational infrastructure.</td>
<td>$7,000</td>
</tr>
<tr>
<td>Asian Professional Education Expansion</td>
<td>To launch a marketing and capacity building initiative.</td>
<td>$4,000</td>
</tr>
<tr>
<td>Chinatown YMCA</td>
<td>To strengthen fundraising capacity, including fee for service and volunteer opportunities.</td>
<td>$8,000</td>
</tr>
<tr>
<td>Coalition for Asian American Children &amp; Families</td>
<td>To strengthen non-profit governance through strategic planning, board &amp; staff development, database upgrade, legal advice.</td>
<td>$6,000</td>
</tr>
<tr>
<td>Filipinos American Human Services Inc.</td>
<td>To provide direct program support for Filipino at-risk youth programs.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Greater Chinatown Community Association</td>
<td>To sustain ongoing services for seniors for which funding was drastically reduced.</td>
<td>$7,500</td>
</tr>
<tr>
<td>Indochina Sino-American Community Center</td>
<td>To build coalitions with community-based organizations serving the Vietnamese communities.</td>
<td>$7,000</td>
</tr>
<tr>
<td>Japanese American Social Services, Inc.</td>
<td>To enhance audit fee.</td>
<td>$3,000</td>
</tr>
<tr>
<td>Korean-American Family Service Center</td>
<td>To sustain special activities of the Hidden “Little Tiger” Program for children with special needs.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Lower East Side Family Union</td>
<td>To support parent education workshops that empower immigrant Asian parents in Queens.</td>
<td>$4,000</td>
</tr>
<tr>
<td>New York Asian Women’s Center</td>
<td>To fund technology infrastructure that would enhance capacity to communicate with external constituents.</td>
<td>$4,000</td>
</tr>
<tr>
<td>Sabhi for South Asian Women</td>
<td>To redesign website elements of new project, Communities Take Charge.</td>
<td>$5,000</td>
</tr>
<tr>
<td>South Asian Youth Action</td>
<td>To fund a full-time Finance and Administration Associate.</td>
<td>$10,000</td>
</tr>
<tr>
<td>Young Korean American Service &amp; Education Center</td>
<td>To utilize civil engagement and voter empowerment efforts to push for comprehensive immigration reform.</td>
<td>$7,500</td>
</tr>
</tbody>
</table>

**TOTAL:** $94,000


Member agencies interested in applying for a Community Fund grant should complete and return Federation RFP’s upon receipt in April. Nonprofit organizations advancing the human service interests of Asian Americans through direct service, public policy and/or advocacy work who are interested in membership should contact Hong Shing Lee, Chief Operating Office: 212.344.5878, ext. 17 or e-mail: hlee@aafny.org.
A NEW HERITAGE OF GIVING

Since 2000, the Federation has been a member of the Coalition for New Philanthropy, which promotes sustained and effective philanthropy in communities of color. Other members of the coalition include the Hispanic Federation, The Twenty-First Century Foundation, the New York Regional Association of Grantmakers, and the Center on Philanthropy and Civil Society at the Graduate Center of the City University of New York. The W.K. Kellogg Foundation, the Carnegie Corporation, the Charles Stewart Mott Foundation and New Ventures in Philanthropy have consistently supported the coalition’s work.

In connection with its coalition membership, the Federation has continued promoting A New Heritage of Giving, a philanthropic initiative that encourages and provides opportunities for Asian Americans to share their time, talents and financial resources with community organizations. Through speaker bureaus, presentations, networking events, giving circles and a first of its kind philanthropy Web site (www.asianamericanphilanthropy.org), the Federation strives to increase understanding of strategic philanthropy for and by Asian Americans.

In a significant development, the Federation helped facilitate the creation of AsianNextGen, a giving circle of young professionals in 2004 and has provided in-kind administrative support, including fiscal sponsorship. Members of AsianNextGen meet monthly to explore common fields of interest and gain an in-depth understanding of social investing. The circle seeks to address community needs and engage in high impact philanthropy by coming together and strategically funding issues of interest.

In its first year, five members of AsianNextGen raised $20,000 for the Asian Outreach Program of the Child Center of New York, formerly the Queens Child Guidance Center. This funding enabled the Center to hire two part-time psychiatric social workers to handle an additional 18 cases each and shorten the agency’s waiting list of children by more than 30 cases. Furthermore, in-kind donations such as computers and office equipment were also donated and a volunteer outing of employee volunteers from JPMorgan helped repaint the entire clinic! By 2006, the circle had grown to 12 members. In 2007, donations will be pooled to address elder care and senior services.

“...I try to never forget how fortunate I am to have grown up with such comforts as shelter, clothing, abundant food, education, support and companionship from my family. Complete access to mental and physical health assistance, and many other opportunities. I now give to provide others opportunities such as housing, food, education, companionship, and mental health assistance, that I have been lucky enough to have had my entire life.”

Rebekah Lee
AsianNextGen Member

VOLUNTEERISM

Promoting volunteerism also has been a focus of the Federation’s philanthropy initiative. Working with Asian American corporate affinity groups, the Federation coordinated employees to assist community-based agencies:

Asian American Volunteers and Philanthropy Discussion. On May 17, 2006, a panel of Asian American attorneys who volunteer their time and skills in their spare time addressed a group of Partners and Associates at Cadwalader, Wickens, and Taft, LLP. The event gave associates firsthand insight on how to get involved with community nonprofits.

Asian American Professionals Partnering with Nonprofits. Members of JPMorgan ASPIRE, the Employee Network for Asian Pacific Islander group, helped paint the offices of the Asian Outreach Program of the Child Center of New York in Elmhurst, Queens, on June 10, 2006.

MICHAEL TONG CULINARY SCHOLARSHIP FUND

In addition to expanding its outreach to corporate professionals and students, the Federation has formed new relationships with individual donors to help them fulfill their philanthropic goals. For example, in June 2005, the Federation assisted Frank and Mildred Feinberg in establishing the Michael Tong Culinary Scholarship Fund. Mr. and Mrs. Feinberg wanted to tie two of their interests, financial support and Asian American affairs, into a philanthropic giving opportunity. Frank Feinberg, a retired wine importing, exporting and distribution professional, and his wife decided to sponsor a $5,000 culinary scholarship annually for five years for Asian American students at the Cornell University School of Hotel Administration.

The scholarship was named for Mr. Feinberg’s longtime friend and customer, Michael Tong, proprietor of the Shun Lee restaurants in New York City. “When I started in this business in the 1960s, Michael was the first and only person who signed on with us for whatever we wanted, no questions asked,” Mr. Feinberg explained.

Michael Tong Culinary Scholarships are awarded based on academic, extracurricular and professional achievements, as well as financial need. The 2007 winner was Yin Law, currently in his second year at the School of Hotel Administration. The 2006 winner was Andrea Quach, currently a senior at the School of Hotel Administration, and the 2005 recipient was Sybil Yang, a 2005 graduate of the Master of Management in Hospitality program.

To learn more about creating a Fund, please call Michael Tong, Donor Relations Director: (212) 344-5878, ext. 24 or e-mail: michelle@aafny.org.
The Federation supports community organizations that are in the front line. “I don’t have to spend time wasting and thinking about things that I am not good at, I will focus on what I am good at. And, the Federation helps me focus on what funding needs to be focused at, impact on measure, technical assistance provided and even helping us to be aware of issues that we might not be aware of… The Federation helps us see the forest, so the trees will grow much better.” David Chen, Executive Director, Chinese-American Planning Council, Inc.

As an essential resource for Asian American nonprofit organizations, the Federation offers advice and assistance in nonprofit management to its member agencies, in areas such as fundraising, program planning and organizational development. In addition, the Federation disseminates relevant information and conducts briefings on issues of concern to member agencies and their constituencies.
Capacity Building: Supporting Asian American Nonprofit Agencies

“Independent organizations within the Asian American community don’t have the capacity to broker relationships with big foundations or even individual donors, so that’s what the Federation does, it’s a path breaker.”

Annette Seecharran, Executive Director, South Asian Youth Action

In fiscal years 2005 to 2007, the Federation welcomed seven member agencies: Afghan Communicator, Chaya Community Development Corporation, DAMA-YAN Migrant Workers Association, Inc., Immigrant Social Services, Inc., Korean American League for Civic Action, Korean Family Counseling and Research Center, and South Asian Council for Social Services. The Federation provides funding, support, and special services to 40 member agencies.

FUNDRAISING

The Federation has enlisted a number of agencies individually on fundraising matters through sharing of information on fundraising opportunities as well as consultation on specific needs. The range of requests for assistance included advice on developing an individual donor campaign, emergency funding, fundraising staffing, managing a fundraising event, and long-range fund development strategies.

FISCAL SPONSORSHIP

Each year, the Federation offers in-kind fiscal sponsorship to young community groups that are unincorporated, awaiting approval of their federal tax-exempt applications, or do not have internal resources to manage their funds. During the past three years, the Federation served as fiscal sponsor to AsianextGen, Oasis Rising Up and Moving (DRUM), Legal Access Network for South Asians, Nodsdot for Korean Community Development, South Asian Health Project, and the South Asian Bar Association of New York.

ISSUE BRIEFINGS

Nonprofit Governance

At the encouragement of the chairman and ranking member of the U.S. Senate Finance Committee, the Independent Sector convened the Panel on the Nonprofit Sector in October 2004 to thoroughly examine nonprofit-governance issues. The panel culminated its work in a report to Congress, Strengthening Transparency, Governance and Accountability of Charitable Organizations in June 2006. The Federation Executive Director, Cao O contributed to this effort by serving on a panel working group.

The independent members of the panel’s activities and encouraged them to participate in the panel’s field meetings in New York City. In addition, Jon Small, then president of the Independent Sector convened the panel on the nonprofit sector in October 2004 to thoroughly examine nonprofit-governance issues. The panel culminated its work in a report to Congress, Strengthening Transparency, Governance and Accountability of Charitable Organizations in June 2006. The Federation Executive Director, Cao O contributed to this effort by serving on a panel working group.

The Federation kept member agencies abreast of the panel’s activities and encouraged them to participate in the panel’s field meetings in New York City. In addition, Jon Small, then president of the Independent Sector convened the panel on the nonprofit sector in October 2004 to thoroughly examine nonprofit-governance issues. The panel culminated its work in a report to Congress, Strengthening Transparency, Governance and Accountability of Charitable Organizations in June 2006. The Federation Executive Director, Cao O contributed to this effort by serving on a panel working group.

POLICY FORUMS WITH STATE OFFICIALS

On May 31, June 22, and August 3, 2007, the Federation organized forums for service providers from the Asian American Community to meet four state officials: Michael J. Burgess, Director for the New York State Office for the Aging; Patricia Smith, Commissioner of the Office of Temporary and Disability Assistance; and Gladys Carrion, Commissioner of the Office for Children and Family Services. The agencies shared their priorities, while the service providers explained their challenges when assisting their clients.

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Most people think of Asian America as having a tremendous success rate; and, in fact, the model Asian American image hurts us because we don’t get the help that we need because people say that we don’t need the help.” Larry Lee, Board Member, Coalition for Asian American Children and Families.

In November 2005, supported by the Citigroup Foundation, C.J. Huang Foundation and AT&T Foundation, the Federation’s Census Information Center produced a special report on Economic Characteristics of Asian Americans in the New York Metropolitan Area. The Federation found that within New York City, Asians have higher poverty rates than the general population. And, for people age 65 to 74, poverty rates were 18 percent for Asians compared to 10 percent for the general population. Those older than 74, had increased poverty rates which were 21 percent for Asians and 12 percent for the general population. This report was the first comprehensive report examining the work, income and housing characteristics of the rapidly-growing Asian American population in the region.
Research: Increasing Understanding and Informing Policies

The release of the Federation’s marker profile of Filipino Americans in New York City is significant because there are very few research studies and analyses of the Filipino population in the United States. The Federation’s demographic portrait will be an invaluable tool for the Consulate General in developing programs and activities responsive to the needs of Filipino Americans in New York.

Cecilia B. Rebong, Philippine Consul General, July 1, 2004
fter the Indian Ocean tsunami in December 2004, the Federation witnessed an outpouring of concern for victims. In addition to joining in a community-based fundraising effort in March 2005, the Federation conducted preliminary outreach to affected communities in New York City. Information gathered suggested that a more thorough needs assessment was warranted. Supported by the Ittleson Foundation and United Way of New York City, the Federation quickly assembled a multilingual Tsunami Response Team to contact the Indonesian, Indian, Sri Lankan and Thai American populations in the New York area because service providers lacked relevant community ties and language capabilities to work with affected individuals and their family members.

In a five-month intensive outreach effort, the team conducted in-depth interviews with families who had been affected directly and documented their losses. Immediate needs required included financial assistance, legal consultation and mental-health counseling. Findings were published in a New York Regional Association of Grantmakers report titled Rebuilding Lives: The Philanthropic Response to the Indian Ocean Tsunami of 2004, available at www.aafny.org/pdf/NYRAG.pdf.
Special Projects: Responding to Emerging Community Needs

“[The Federation] gives companies like Ernst & Young an opportunity to match Ernst & Young’s employees with needs that these member organizations have…. ways to give back to the community, ways to network, ways to get more satisfaction out of their day to day lives… the Federation is truly a catalyst.”

Ekta Singh, Principal, Ernst & Young LLP and Federation Board Director

The Federation develops new program initiatives to address developing community issues as needed. By leveraging resources and expertise, the Federation spearheaded several substantial collaborative projects in the past three years.

POST-SEPTEMBER 11TH RECOVERY
In its 2003 landmark study, Asian American Mental Health: A Post-September 11th Needs Assessment, the Federation found that Asian Americans affected by the World Trade Center tragedy experienced long-term emotional consequences and this population segment lacked appropriate mental health care. The Federation recommended community-wide measures to increase the availability of culturally suitable services.

To respond to the critical service gap, in early 2005 the Federation launched a two-year project with Asian LifeNet of the Mental Health Association of New York and the South Asian Council for Social Services. With more than $500,000 in funding from the American Red Cross, the Federation initiated a project to enrich supportive services for elderly Asian Americans in Flushing. The first phase of the project involved mapping of a geographic area that has a high concentration of Asian elders and that meets other New York State requirements for a naturally occurring retirement community. The Federation convened a consortium of elder service providers and together conducted a preliminary assessment of social service and health care needs among Chinese, Korean, and South Asian American elders through six focus-group sessions and more than 60 face-to-face surveys. Based on the result, the Federation and collaborating agencies designed a prototype project to provide comprehensive social service and health-care management for the community of Flushing Asian elderly.

In Spring 2007, the Federation assisted the City Department for the Aging in New York Fund in producing a Chinese and a Korean booklet on new York City resources for seniors—How to Get Them: A Benefits Guide for Older New Yorkers. Enlisting the help of member agency Korean Community Services of Metropolitan New York, Inc., the Federation provided meaningful feedback about the areas of mental health and elder care, his testimony illustrated the unique emotional difficulties faced by Asian women, both young and old. He also offered a series of recommendations towards improving care and access to services.

SUICIDE PREVENTION

When service providers have observed that Asian children and teenagers are highly prone to depression. Pressure to succeed in school, family conflicts, women’s sense of identity and self-worth in the family, as well as stress associated with being new immigrants have been mentioned as factors contributing to depression. Executive Director Cao D, December 7, 2006 testifying on the high rates of attempted suicide among Asian and Hispanic women at a joint hearing of the New York State Assembly Committee on Mental Health, Mental Retardation and Developmental Disabilities, the Puerto Rican/Hispanic Task Force, and the Task Force on Women’s Issues. Drawing upon the Federation’s research and programs in the areas of mental health and elder care, the testification illustrated the unique emotional difficulties faced by Asian women, both young and old. He also offered a series of recommendations towards improving care and access to services.
Every year, the Federation’s Spirit of Asian America Gala is held in celebration of Asian Pacific American Heritage Month and in recognition of outstanding individuals who are successful leaders and contributors to our communities. In 2007, we were honored to pay tribute to Arvind Raghunathan, Managing Director and Head of Global Arbitrage, Deutsche Bank; Sung-Joo Kim, Chairperson, MCM Products AG; Farooq Kathwari, Chairman, President, and Chief Executive Officer, Ethan Allen Interiors Inc.; and Haruko Smith, Founder and Chairperson, September Concert Foundation. Proceeds raised support the Federation’s work in the Asian American community, the fastest growing population in and around the metropolitan area. Funds also help support our services to member agencies in areas such as domestic violence prevention, afterschool programs for at-risk youth, and healthcare for the elderly.
Additional Highlights:

Public Hearing of the President’s Advisory Commission on Asian Americans and Pacific Islanders, Philadelphia July 20, 2004
Federation Executive Director Cao O testified before the commission on providing equal economic opportunities for full participation of Asian American and Pacific Islander businesses.

“Pathways for Change” Conference of the Coalition for New Philanthropy Sept. 27, 2004
Board member Lisa Murphy participated as a panelist at the conference.

Mayoral Proclamation on the 30th Anniversary of Lau vs. Nichols Dec. 3, 2004
The Asian Languages Bilingual Education Technical Assistance Center chose Cao O to accept New York Mayor Michael Bloomberg’s proclamation celebrating the landmark Supreme Court decision at the center’s 12th annual forum for educators of Asian Americans learning English.

Luncheon Discussion with German State Secretary for Seniors, Families and Youth Feb. 7, 2005
Cao O was among a select group of New York City officials and nonprofit executives invited by the Friedrich Ebert Foundation to discuss conditions for elderly New Yorkers with Christel Niemann-Hanewinkel, German State Secretary.

Conference of the Asian American Network for Cancer Awareness, Research and Training March 24, 2005
Carol Pang gave a presentation on research and community-based partnerships involving health.

Spirit of Asian America Gala 2005 May 2, 2005
The Federation celebrated its 15th year at its annual black-tie gala at Cipriani 42nd Street. The event recognized the accomplishments of Chan Suh, chairman of Agency.com; Dr. C.J. Huang, founder of the C.J. Huang & Ou Yang Yu foundations; and Dr. Jeanette Takamura, dean of the Columbia University School of Social Work.

Visits with New York City Mayoral Candidates May 24, 2005 and June 16, 2005
Manhattan Borough President C. Virginia Fields and former Bronx Borough President Fernando Ferrer, both running for New York City mayor, visited the Federation on these dates, respectively.

The candidates conversed with the executive directors of several Asian American cultural and human service organizations.

New York City Hall visit of the Taipei Commissioner of Social Welfare Aug. 18, 2005
At a Federation arranged meeting consisting of James C.T. Hsueh, Commissioner of the Department of Social Welfare of the City of Taipei, Taiwan, and his delegation, New York City’s social service-contracting system was discussed. The visitors met with Marla Simpson, Director of the Mayor’s Office of Contract Services; Michael Stoller, Executive Director of the Human Services Council of New York City; and David Chen, Executive Director of the Chinese-American Planning Council.

Sake-Tasting Event Dec. 6, 2005
Guests filled Megu’s Kimono Bar and Imperial Room to sample more than 30 selections of sake and Japanese rice wine served alongside gourmet Japanese cuisine. Corporate sponsors included Young Moriwaki & Greenfelder, LLP; LEXICO Wealth Management, Inc.; and Holtz Rubinstein Remick.

Federation board member Paul Huang presided as a panelist.

Donor Reception at Madison Square Garden Feb. 5, 2006
The Federation hosted a “thank you” reception for donors at a Knicks vs. Pockets basketball game. Guests enjoyed the event in a skybox courtesy of the New York Knicks.

Spirit of Asian America Gala 2006 May 9, 2006
The Federation recognized the hard work and extraordinary achievements of Dinyar S. Devite, senior vice president and chief financial officer of Altria Group, Inc.; Dow Kim, then executive vice president of Merit Lynch and Gina Lin Chu, philanthropist. Richard C. Holbrooke, former U.S. Ambassador to the United Nations presented awards and Connie Chung, former co-anchor of CBS Evening News and MSNBC’s Weekends with Maury and Connie, hosted as mistress of ceremonies.

May 10, 2006
In celebration of Asian Pacific Heritage Month, Federation board and staff opened the New York Stock Exchange with Gina Lin Chu, a 2006 Gala honoree who rang the Opening Bell™.

Korean Social Workers visit the Federation September 20, 2006
Representatives from the Korean-based Support Service for Social Integration of Multicultural Families, met with Federation staff to discuss social integration of multi-cultural families in Korea.

With generous support from the Citigroup Foundation, the Federation released the sixth edition of its Human Services for Asian Americans in Metropolitan New York, profiling more agencies than ever before.

Member Agency Reception November 9, 2006
The Federation held a special membership event to present its strategic plan to and seek involvement from its member agencies. Nearly 100 individuals from twenty-eight agencies attended.

Federation awarded the 2007 National Leadership in Action Award by W.K. Kellogg Foundation May 1, 2007
In recognition of the significant strides made within its philanthropy programs, the Federation received the prestigious National Leadership in Action Award from the W.K. Kellogg Foundation. The Federation was one of five organizations from across the country to receive this prestigious award, recognizing and celebrating outstanding philanthropic work and nonprofit leadership in communities of color.

Jeffrey Chin succeeds Yung Duk Kim, Ph.D. as Federation Board Chairman July 1, 2007
Jeffrey Chin was elected the new Chairman of the Board. “I am honored that my colleagues have elected me as Chairperson. I believe that the Federation is in a prime position to make big strides in the next few years.” Jeffrey Chin
**OUR SUPPORTERS:**

**PATRONS $100,000 AND MORE**
- American Red Cross
- Paul D.C. Huang
- W.K. Kellogg Foundation
- Robert Rauschenberg
- United Way of New York City
- The Starr Foundation

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- Allianz Life Insurance Company of North America
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- Karen Li
- Long Mountain Road Foundation
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- Options Group
- Schering-Plough
- Sony Electronics Inc.
- Dong Suk Suh
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- Abacus Federal Savings Bank
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- Richard and Cecile Russo

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Wynn Salsich
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Roger Soo
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Kenneth and Doreen Wang
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Cynthia Yang
Diane Yang
Drs. Priscopi and Gregoria Yang
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SPONSORS $10,000 TO $24,999
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Yoel Makino, CPA
Marathon Asset Management
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Liza B. Murphy
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Schering-Plough
Scirpi Networks
Sayfarth Shaw LLP
Sony Electronics Inc.
Dong Suk-Suh
Thelen Reid & Priest LLP
The New York Times
Michael Tong
Verizon Communications

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ChinaSprout, Inc.
Irene C. Chu
Constance Y. Chung
Do H. Chang
Commerice Bank
Mahshid Ehsani
Ernst & Young, LLP-AIPRE
Fidellis Care New York
Federated Department Stores, Inc.
Hamilton-Madison House, Inc.
Hoth Rubenstein Reinmick LLP
C.J. and Ha Lin Yip Huang
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Kono Designs
Edmund and Susan Kwang, M.D.
Harold Leach and Melia Lew
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Karen Li
Mark and Linda Longin
Susan E. Lynch
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National Basketball Association
News Corp
Satsuki Matsunaga-Nishi, Ph.D.
Briquette Noh
Jean Sun-Shek
Theodore and Mary Jo Shen
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Tiger Advisors LLC
Sunii Wadhwa
Veritas International Company
Michael Tong
Verizon Communications

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Douglas Jung
Koch Group & Company, LLP
Katie Law
Rebekah Lee
Sandra Lee Kawano
Iida Liu
Natalie Louise
Lower East Side Family Union
Rita Ng
Cao K. O
Susan J. Druuna, Esq.
Aibel and Sophia Sheng
Shining Sung
Minki Sym and Janet Park
The Yan Family Charitable Foundation
YMCA of Greater New York
Richard Young
YWCA of Queens

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Edward W. Avery, Jr.
David Chan and Jeannie Park
Julia Cheng
Dickson C. Chin
China Institute in America Women’s Association
Amy Chu
Coalition for Asian American Children and Families
Erika Cronkon
Steven A. Fulmar
Angela Fung
Greater Chinatown Community Association
Adelaida Gredish
Anta Gundamna
Charles E. Hamlen
Histoct Hatanaka
Ko Ho
J.D. Hokoyama
Stanley S. Honda
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Chun Lai
Richard Liao
Kris Lee
Lower East Side Family Union
Shining Sung
Wayne Lin
Young Moriwaki & Greenfader, LLP

GIFTS RECEIVED:
July 1, 2005 — June 30, 2006
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- William Randolph Hearst Foundation
- United Way of New York City

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- Wal-Mart Stores, Inc.
- The New York Community Trust
- The Starr Foundation
- the new york times
- Yoko Ono Lennon

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- the new york times
- Yoko Ono Lennon

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- Michelle Teng, Esq.

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- Eddy Bayadelle
- Brooklyn Chinese-American Association

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- Aven Foundation
- The Citibank Employee Foundation
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- The Mental Health Association of New York City
- Metropolitan Bank and Trust Company
- New York Asian Women’s Center
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- Shandehk Music Festival
- Richard W. Sheng
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- Andrew L. Tse
- Paul D.C. Huang
- Bin Zhou

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- Citigroup Foundation
- Con Edison
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- Con Edison
- Chinese American Planning Council, Inc.
-Commerce Bank
- Chinese American Planning Council, Inc.
- Commerce Bank
- Amy W. Chu
- Evan Davis and Mary Rothwell Davis
- General Motors Corporation
- Hamilton-Madison House, Inc.
- Linda Hong
- Paul D.C. Huang
- Curt Jones
- Edmund and Susan Kwian, M.D.
- Jean H. Lobell
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New York City Transit Authority
De Nguyen
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Cyril Nishimoto
Naomi Nixa
Paddia & Company, LLP
Jimmy K. Pang
Jeannie Park
Jeffrey and Nancy Phlegar
Prosthodontics and Implant Dentistry of NY P.C.
Paul Price
Amy L. Prisco
Radioshack Corporation
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Chan Suh
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Edwin Wong
Esther Wong
Frances Wong
Lai Wan Wong
Young S. Woo
Cynthia Yang
Drs. Procopio and Gregoria Yanong
Gary Yee
Danny Yip
Charles Yoon
Kyung H. Yoon
Donald and Sandra Young
Elaine Y. Yu

GIFTS RECEIVED:

Afghan Communicator
Asian American Legal Defense and Education Fund
Asian Americans for Equality
Asian & Pacific Islander Coalition on HIV/AIDS
Asian Professional Extension
Asian Youth Center of New York
Brooklyn Chinese-American Association
Charles B. Wang Community Health Center
Chiharu Community Development Corporation
China Institute in America
Chinatown Manpower Project
Chinatown YMCA
Chinese-American Planning Council
Chinese Methodist Center Corporation
Chinese Progressive Association
Coalition for Asian American Children and Families
DAMAYAN Migrant Workers Association, Inc.
Family Health Project
Filipino American Hospital Services, Inc.
Flushing YMCA Korean Program
Greater Chinatown Community Association
Hamlin-Madison House
Immigrant Social Services, Inc.
Indochina Sino-American Community Center
Japanese American Social Services, Inc.
Korean American Community Center of New York
Korean American Counseling Center
Korean American Family Service Center
Korean American League for Civic Action
Korean American Senior Citizens Society of Greater New York
Korean Community Services of Metropolitan New York
Korean Family Counseling and Research Center
Lower East Side Family Union
New York Asian Women’s Center
The Philippine Nurses Association of New York
Sukhi for South Asian Women
South Asian Youth Action
South Asian Council for Social Services
Young Korean American Service & Education Center
YWCA of Queens

Member Agencies
## Financial Report:

<table>
<thead>
<tr>
<th>Public Support &amp; Revenue</th>
<th>6–07</th>
<th>5–06</th>
<th>4–05</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foundation and Corporate Grants</td>
<td>$616,494</td>
<td>$717,606</td>
<td>$608,883</td>
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<tr>
<td>Government Grants</td>
<td>0</td>
<td>50,000</td>
<td>0</td>
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<tr>
<td>Benefit Dinner</td>
<td>244,833</td>
<td>232,951</td>
<td>204,460</td>
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<tr>
<td>Individual Contributions</td>
<td>14,940</td>
<td>38,964</td>
<td>27,802</td>
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<tr>
<td>Income collected on behalf of other agencies</td>
<td>2,512</td>
<td>309</td>
<td>4,212</td>
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<tr>
<td>Worksite Campaigns</td>
<td>69,829</td>
<td>292,911</td>
<td>204,460</td>
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<tr>
<td>Interest Income and Fees</td>
<td>7,289</td>
<td>6,064</td>
<td>9,638</td>
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<tr>
<td>Miscellaneous</td>
<td>751,775</td>
<td>646,026</td>
<td>386,275</td>
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<tr>
<td>Total Support and Revenue</td>
<td>$1,707,182</td>
<td>$1,805,788</td>
<td>$1,325,528</td>
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</tbody>
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*Year Ended June 30th*

<table>
<thead>
<tr>
<th>Functional Expenses</th>
<th>6–07</th>
<th>5–06</th>
<th>4–05</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Services:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Agency Services</td>
<td>$342,862</td>
<td>$474,815</td>
<td>$639,670</td>
</tr>
<tr>
<td>Policy and Research</td>
<td>320,934</td>
<td>597,062</td>
<td>367,551</td>
</tr>
<tr>
<td>Philanthropy and Community Fund</td>
<td>441,837</td>
<td>343,358</td>
<td>233,035</td>
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<tr>
<td>Total Program Services</td>
<td>$1,105,623</td>
<td>$1,415,235</td>
<td>$1,240,256</td>
</tr>
<tr>
<td>Supporting Services:</td>
<td></td>
<td></td>
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<tr>
<td>Administration</td>
<td>$119,386</td>
<td>$164,408</td>
<td>$152,138</td>
</tr>
<tr>
<td>Fundraising</td>
<td>226,608</td>
<td>214,352</td>
<td>228,206</td>
</tr>
<tr>
<td>Total Supporting Services</td>
<td>346,994</td>
<td>378,760</td>
<td>380,344</td>
</tr>
<tr>
<td>Total Expenses</td>
<td>$1,451,517</td>
<td>$1,793,995</td>
<td>$1,620,600</td>
</tr>
<tr>
<td>Changes in Net Assets</td>
<td>$255,665</td>
<td>$71,702</td>
<td>($295,073)</td>
</tr>
<tr>
<td>Net Assets: Beginning of Year</td>
<td>$80,183</td>
<td>$80,183</td>
<td>$8,391</td>
</tr>
<tr>
<td>Net Assets: End of Year</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## How You Can Help:

Types of Funds:

**Annual Fund:** Donors can contribute to their beloved city by supporting our work within our Asian communities. Funds raised will help meet the changing needs of the Asian population and allow donors to participate in solving on-going as well as new difficulties afflicting our communities.

**Endowment Fund:** Donors can establish a permanent funding source to provide annual support to a charity or charities or to a specific cause while creating a lasting legacy.

**Field of Interest:** Donors fund issues close to their hearts such as elder care, child welfare, civil rights, or scholarships. Grants are then distributed to meet the needs within that particular field.

**Giving Circles:** Donors seeking to help specific groups or causes may choose to pool their donations and engage in higher impact philanthropy by coming together and strategically funding issues of similar interest. Donors actively participate in the distribution of grants.

- All gifts qualify for immediate federal income, estate and gift tax deductions.
- All gifts are irrevocable and may be made in the form of cash, securities, and mutual fund shares. (Other types of assets will also be considered on a case by case basis).
- Donors may choose to name their fund after someone special (e.g.: in memoriam) or a family (e.g.: The Chang Family Fund), or remain anonymous.
- The Federation looks after your fund(s) and reports on all contributions made, grants distributed and current value.
- A nominal administration fee to administer trust expenses are paid directly from the fund.

If you would like more information or to discuss your charitable interests, please call Michelle Tong, Donor Relations Director: (212) 344-5878, ext. 24 or e-mail: michelle@saafny.org.
Federation staff and supporters mourned the passing of Yilo Cheng, our Mental Health Project Manager, on February 5, 2007. Yilo served the community in clinical social work, managing projects dealing with post-9/11 tragedies and member and government agency collaborations. Yilo was a well-rounded individual, having much compassion towards others. He will be missed dearly.

* Federation staff and supporters mourned the passing of Yilo Cheng, our Mental Health Project Manager on February 5, 2007. Yilo served the community in clinical social work, managing projects dealing with post-9/11 tragedies and member and government agency collaborations. Yilo was a well-rounded individual, having much compassion towards others. He will be missed dearly.